 MWANZA PRECIOUS METALS REFINERY LIMITED	Gold Purchase Department			Prepared By	GPO
	Local Precious Metals Seller / Supplier Know Your Counterparty (KYC) & Account Opening Form			Reviewed By	GM
	Doc No.:	Rev. No.	Approved Date	Approved By	CEO
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Local Precious Metals Seller / Supplier
Know Your Counterparty (KYC) & Account Opening Form

We thank you for the interest in wanting to establish a business relationship with MPMR.


In order to serve you better and keeping in mind a long-term relationship, we need to have an in-depth understanding of your organization and other relevant details pertaining to your business. Hence, it is mandatory for you to comply with our KYC and Supply Chain Policy.

Thanking you for your kind understanding and cooperation in this regard.

For MPMR,

CEO

MPMR Questionnaire – Dore Gold / Silver Seller / Supplier	
1. Company Details *	
a. Name	
b. Registered Address	
c. Business Address	
d. Contact Details: Mobile Number Email Address Office Phone Number	 <hr/> <hr/> <hr/>
e. Date of Incorporation	
f. Country of Incorporation	
g. Business Registration Number	
h. TIN Number	
i. Website (if available)	
j. Financial Auditors	Yes <input type="checkbox"/> No <input type="checkbox"/> If no, explain why:
k. List of Subsidiaries, if any (provide details)	

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Please attach the below documents along with the KYC Form *

1. Certificate of Incorporation
2. Certificate of Good Standing from recognized governing / financial institution
3. List of directors / authorized signatories / owners / beneficiaries with their Nationalities, Address of Domicile, Local Address in country of business, email ID and contact details
4. Tax Identification Number (TIN)
5. Trade License & Precious Metal Buying/Selling License (if different from Trade License)
6. Company policies & procedures for procurement of feedstock from End-Sellers / Producers (if no standard policies / procedures exist, kindly provide an official undertaking)
7. National ID/Passport copy of all owners, shareholders, and beneficiaries

2. Bank Details *	
Account Name	
IBAN Number / Account Number	
SWIFT	
Bank Name & Address	

3. Origin of Precious Metal *		
Source of Precious Metal Supplier	Mine <input type="checkbox"/>	Others <input type="checkbox"/>
	ASM <input type="checkbox"/>	If Others, Please Specify
	Recycled (Jewellery) <input type="checkbox"/>	_____
	Recycled (Electronic) <input type="checkbox"/>	_____
	Grandfathered <input type="checkbox"/>	_____
Precious Metal Origin in Tanzania		
Special Services	Storage & Insurance Yes <input type="checkbox"/> No <input type="checkbox"/>	
	Export to end destination Yes <input type="checkbox"/> No <input type="checkbox"/>	
	Others, please specify _____	
Valid legal permit for import of Precious Metals (if applicable)	Yes <input type="checkbox"/>	No <input type="checkbox"/>

4. Facilities *		
Does the company produce its own jewellery?	Yes <input type="checkbox"/>	No <input type="checkbox"/>


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5. Responsible Precious Metal Supply Chain Policy *		
Does the company comply with the government regulation in the country of domicile & statutory regulation in the country of origin	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Undertaking that the precious metals sold to MPMR have not financed conflict	Yes <input type="checkbox"/>	No <input type="checkbox"/>

6. Anti-Money Laundering (AML) – Combating Financial Terrorism (CFT) *		
Has the company established a Conformity Program that contains AML / CFT procedures, as per international and local laws	Yes (Please provide copy of your policy statement) <input type="checkbox"/>	<input type="checkbox"/>
	No <input type="checkbox"/>	<input type="checkbox"/>
If yes, please provide details of regulation and name of regulator		

7. What type of information does your company request from your precious metal supplier / producer? *				
Mining Companies		Small-Scale / Registered Dealers		
Company Details (including Shareholding Structure, Financials, Mine Details, Mining License, Incorporation Certificates, TIN etc)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Details of Association / Individuals including Government License / Permit to mine, Location, National IDs etc.	
			Yes <input type="checkbox"/>	No <input type="checkbox"/>
			Dealer License	
			Yes <input type="checkbox"/>	No <input type="checkbox"/>
Royalty and Taxes applicable			%	

NOTE: Section 7 details need to be provided with every transaction.

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SELF - DECLARATION

We hereby declare that:

1. the sources of the Metal being procured by us and sold to MPMR is free from affiliation to political parties, criminal networks or non-state armed groups, conflict zones as well as forced or compulsory labour or child labour and is in compliance with International Humanitarian Laws.
2. the information provided herein is accurate, and complete to the best of our knowledge.
3. we indemnify MPMR of any legal consequences from Tanzanian as well as International regulatory bodies as a result of our being non-compliant to MPMR policies and International regulations or by way of concealing or by providing misleading information.

Further we agree to provide any additional information / documentation that may be required by the authorized parties, in conjunction with our compliance and declaration.

Place and Date

Stamp and Signature

Name and Function of Authorized Signatory

For MPMR Official Use		Documents Received in Order - Yes <input type="checkbox"/> No <input type="checkbox"/>	
Checked by & date: _____		Allotted Account No. _____	
Risk Assessment:			
Remarks:			